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fendant shows by a preponderance of evidence that at the time of the violation it was not intentional and resulted from a bona fide error notwithstanding the maintenance of reasonable procedures to assure compliance and avoidance of error."

ADMINISTRATION

SEC. 15. (a) Section 621(a) of the Fair

Credit Reporting Act is amended—
(1) by redesignating paragraph (1) as paragraph (2) and inserting the following before such redesignated paragraph:

"(1) The Federal Trade Commission shall prescribe regulations to carry out the purposes of this title. Such regulations may contain such classifications, differentiations, and other provisions, and may provide for such adjustments and exceptions as in the judg-ment of the Commission are necessary or proper to effectuate the purposes of this title to prevent circumvention or evasion thereof, or to facilitate compliance therewith. Any reference to any requirement imposed under this title or any provisions thereof includes reference to the regulations of the Commission under this title or the provisions thereof in question.";

(2) by inserting after "documents," in paragraph (2) as redesignated the phrase "examination and production of consumer reports and consumer reporting agency

(b) Section 604 of such Act is amended by striking out "A" and inserting in lieu thereof "Except as provided in section 621 (a)(2), a".

(c) Section 608 of such Act is amended by inserting after "604," the following: "and except as provided in section 621(a)(2),".

STATE LAWS

SEC. 16. Section 622 of the Fair Credit Reporting Act is amended by adding at the end thereof the following new sentence: "The Federal Trade Commission is authorized to determine whether such inconsistencies exist. The Commission may not determine that any State law is inconsistent with any provision of this chapter if the Commission determines that such law gives greater protection to the consumer.'

EFFECTIVE DATE

SEC. 17. Section 609(d) of the Fair Credit Reporting Act, as added by this Act, shall become effective 60 days after the date of enactment.

> By Mr. BAYH (for himself, Mr. TUNNEY, Mr. MATHIAS, and Mr. ABOUREZK):

S. 1841. A bill to protect the constitutional rights of citizens of the United States and to prevent unwarranted invasion of their privacy by prohibiting the use of the polygraph type equipment for certain purposes. Referred to the Committee on the Judiciary.

Mr. BAYH. Mr. President, I am today introducing, together with the distinguished chairman of the Subcommittee on Constitutional Rights, Mr. Tunney, Mr. Mathias, and Mr. Abourezk, a bill to bar the use of polygraphs, Psychological Stress Evaluators-PSE-and other mechanical or electrical devices used by the Federal Government or by employers engaged in interstate commerce. Strict limitations of the use of polygraphs have been considered by the Senate on a number of occasions in recent years. Our distinguished former colleague, Mr. Ervin, guided no fewer than five separate bills through the Senate which would have placed some limits on the use of polygraphs by the executive branch. Yet after almost a decade of effort, no legislation

has been ultimately successful. I believe, Mr. President, that the Senate has given the issue perhaps more than adequate consideration and that the time is ripe for final action.

My colleague, the distinguished Congressman from New York, Mr. Koch, had introduced a similar bill in the other body, and he reports the likelihood of favorable House action. I might also note, Mr. President, that no Member of the Congress has devoted more of his time and given more thorough study to the entire issue of privacy than has Ев Косн. He should, I believe, be congratulated for his leadership in this area.

Senator Ervin's efforts were successful in convincing the executive branch to voluntarily limit its use of lie detector tests. Under Civil Service Regulations issued in January 1972 the use of these devices was restricted to agencies having intelligence or counterintelligence responsibilities and upon written authorization by the Chairman of the Civil Service Commission. Yet in 1973, almost 7,000 such tests were authorized. This bill bans the use of lie detectors by any Federal agency without exception. I am willing, however, to listen to reasonable arguments as to the need for a very limited use in the intelligence field. The total number of such tests under the present regulations, however, suggests a serious abuse of the very limited exception that might be justified. Accordingly, until I am convinced that the scope of such an exception could be sufficiently restricted, I will propose a total ban.

It is not in the Federal Government, but rather in the commercial world where mass invasion of privacy is taking place by large-scale use of these devices. There are no reliable statistics on the number of lie detector tests given by private examiners for business purposes, but knowledgeable estimates have ranged from a low of 300,000 to a high of 500,000 per year. This bill would, therefore, bar any person engaged in interstate commerce from "permitting, requiring, or requesting" any employee or applicant to take a lie detector test, and it would enforce this prohibition with criminal sanctions.

I should emphasize, Mr. President, that the bill would not in any way impede law enforcement authorities from making use of the investigative tool which a polygraph provides if there is reason to believe that a crime has been committed.

I believe, Mr. President, that the record presented to the Senate over the past decade suggests three essential reasons which justify the legislation we introduce today. First, clear evidence exists as to the questionable reliability of the results of these tests. Second, an analysis of the judicial development of the constitutional right of privacy reveals that the use of lie detector tests poses serious problems under the first, fourth, and fifth amendments, all of which were cited by the Supreme Court in Griswold against Connecticut as constituting the "penumbra where privacy is protected from government intrusion". Third, and equally important to me, is the inherently offensive nature of the polygraph

procedure. As to the first two of these three points, I ask unanimous consent to have printed in the RECORD at this point in my remarks an excellent staff study prepared by the Staff of the Constitutional Rights Subcommittee on the reliability and constitutionality of polygraphs.

There being no objective, the study was ordered to be printed in the RECORD, as follows:

THE POLYGRAPH TEST: RELIABILITY

The theory behind the polygraph procedure and its results involves physiological responses purportedly related to the act of lying. It is professed that lying causes conrying. It is professed that lying causes conflict to arise within the individual subject. The conflict produces fear and anxiety which, in turn, produce physiological changes which the polygraph devices can measure and record. Thus, the assumption underlying the polygraph test is that a uniform relationship origins between an act. form relationship exists between an act of deception, certain specific emotions, and various bodily changes.28

A typical polygraph examination may contain several features. The subject to be investigated is usually ushered into a waiting room where it is hoped he will avail himself of the favorable polygraph literature left for his attention. His reactions to these readings are often observed by the secretary or receptionist and reported to the examiner prior to his encounter with the subject.24 The purpose of this conditioning is that the person to be examined carry with him into the test a belief in the reliability, accuracy and even infallibility of the polygraph. Examiners maintain that it is important and helpful in obtaining good responses for an individual to be convinced that his lies will be detected, thus heightening his sensitivity to the questions and the likelihood of clear physiological changes.25 The "spy" in the waiting room reports to the examiner the degree of skepticism or acceptance exhibited by the subject while reading the polygraph literature. In this way, it is claimed, the examiner can better understand and compensate for all types of recorded responses to his questions.

Still prior to his being connected to the machine, the subject is brought into the testing area, usually a room sparsely decorated and furnished to avoid the presence of outside distractions or stimuli. At this point, some polygraph operators may make use of "two-way" mirrors to further observe the individual's behavior. Then, with the machine in view, the examiner typically conducts a preliminary interview which aids him in assessing the type of person he is dealing with, and in obtaining other knowledge he might deem helpful in his interpretation of . the results of the polygraph test." The general questions pertaining to the circumstances being investigated are typically gone over to familiarize the subject with them and to allow the operator the opportunity to alter them where he feels it is necessary to elicit clear, definite responses.²⁵ The pneumograph tube, measuring respiration, is then placed around the subject's chest, the blood pressure and pulse cuff around his upper arm, and electrodes, which record galvanic skin responses (the change in the electrical conducivity of the skin due to increased skin perspiration) are attached to his hands. The examiner then proceeds with the questioning as he sits behind his control desk watching and marking the recordings of these devices.

How reliable is this process in determining the veracity of an individual? A study conducted at the Massachusetts Institute of Technology concluded:

... There exists no public body of knowledge to support the enthusiastic claims of

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operators. There are no publications in reputable journals, no facts, no figures, tables, or graphs. In short, there is nothing to document the claims of accuracy or effectiveness except bald assertions.20"

Though studies and experiments to assess the polygraph's effectiveness have been done, even when interpreted favorably, their results seem far from convincing of the polygraph's reliability. In an experiment conducted for the Defense Department, subjects were tested to determine the effect of their faith in the polygraph on the ability of the examiners to detect their lies. 30 The study concluded that a belief in the machine's accuracy did aid the detection of responses under certain types of questioning, but it is significant to note the figures derived for the accuracy of the examiners' interpretations: only 83 percent of the subjects were correctly classified as guilty or innocent in the paradigm used.82

Even a study conducted by a large, wellknown polygraph firm, yielded results which, when scrutinized, are unsettling. The experiment was set up so that examiners worked independently and solely with the records of polygraph tests.33 The analyses of the ten examiners, averaged, produced 87.75 percent accuracy in identifying guilty and innocent subjects.34 The experimenters were quick to point out that the examiners involved in the project did not have the benefit of observing or interviewing the subject so as to "make allowances for a resentful or angry attitude, a condition which could cause an error in interpretation of polygraph records." Further, when figures were calculated separately, experienced examiners achieved an accuracy of 91.4 percent, whereas the accuracy of inexperienced examiners was 79.1 percent.3 The enthusiasm expressed by supporters of the polygraph for results such as these seems unfounded. Even an eight or nine percent fallibility figure is substantial, and there is admittedly a large degree of subjectivity in the examiner's estimation of the subject's state of mind. The fact that there are no uniform standards or qualifications which require a minimum level of competence for examiners cast their subjective evaluations into even greater doubt.

Polygraph promoters and examiners generally quote a 95 percent accuracy rate for the tests performed in actual, as opposed to experimental situations. They also hasten to add that most errors are made in attaching an innocent label to a guilty individual, a fact they apparently view as comforting. The proponents' statistics are based on test results checked against the future dispositions of the subjects: an admission of guilt, confession to a crime, or the judgment of a jury. Yet even these means of verification are not conclusive. Whether or not a person has lied can never be known beyond any doubt: the confession or jury verdict may, in fact, be false or wrong. The staff, in short, has found no independent means for confirming the results of actual polygraph examinations, 51

There is an established probability theory, however, which purports to sustain the validity of polygraph results. The theory of conditional probability maintains that, unless a diagnositic instrument has been demonstrated to be completely infallible, the probability that it will be accurate in any one test depends upon the prevalence of the condition being diagnosed in the group being tested. In a group of 1,000 subjects, supposing 25 to be liars, and with a 95 percent accuracy rate assumed for the polygraph, the conditional probability for the lie detector is that for every one true liar, or "employment risk," found, two people will be falsely classified as such.39

Another objection to the claims of reli-ability for the polygraph test centers around the meaning of the physiological responses

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recorded. In hearings held before the House Foreign Operations and Government Information Subcommittee, chaired by Represent-ative John Moss, experts declared that, given a physiological response under the polygraph test procedure, any of three inferences could be made: either the subject was lying; or he was telling the truth but some emotional factor, such as anger or embarrassment, caused the reaction; or the response was generated by a neurotic pre-condition of the subject.49 Other less frequent or obvious factors possibly affecting the machine-measured replies include extreme nervousness; physiological abnormalities, such as heart conditions, blood pressure problems, headaches and colds; deep psychological problems; the use of drugs and alcohol; ratigue; simple bodily movements; and even the subject's sex.41 Thus, the fact that peculiar physiological responses may be caused by physiological factors unrelated to whether the subject is lying casts the validity of these tests into further disrepute.

Furthermore, are there mental activities besides deception that can cause the physical changes recorded by the polygraph? Psychiatric experts state that any situation or stimuli that produced feelings of frustration, surprise, pain, shame, or embarrassment could be responisble for such physiological responses. 1 In fact, humans do respond differently to emotional stresses. No one would claim the physical responses to different people would be the same even under similar stimuli.4 Nor, for that matter, has there been any relationship proven between lying well as guilt, fear, or anxiety.""

"... people cannot go through life without some lying, and every individual builds up his own set of responses to the act. Lying can conceivably result in satisfaction, excitement, humor, boredom, sadness, hatred, as well as guilt, fear, and anxiety.40

Negative polygraph result could be obtained because of feelings such as hostility, possessed unconsciously by a men-

tally-unbalanced subject.45

Are there other individual differences which could affect the polygraph? Studies conducted have shown that many individual factors, including skin pigment, may affect the galvanic skin response, heartbeat, and respiratory response measured by the device. In a study conducted for the Air Force to determine the role played by environmental stress in the ability to detect lies.47 the experi-menters unexpectedly discovered another potential problem area. They found that the galvanic skin reactivity of an individual was not predicated only upon environmental or situational circumstances producing in-creased perspiration and electrical conductivity of the skin. Instead, it appeared that these physical responses differed among individuals, as recorded by the polygraph, in a way not accounted for in the experimenters' predictions. Further investigation seemed to point to biological, racially attributable differences as the reason.48

A related problem inherent in the polygraph test pertains to questions of cultural differences. It is generally recognized that values and moralities—honesty and truth are, in part, culturally acquired; a serious lie in one person's view could, based on a different personal experience and background, be, in another's eye, inconsequential.4 This throws further suspicion on the validity of a technique which depends upon accepted notions of morality for its value.

If the public were aware of the fallibility of the polygraph, would its effectiveness decrease? An important feature of the examination procedure, as previously explained, is the attempt to convince the subject of the machine's accuracy. Thus, as one authority notes, "Were the machine regarded as capable of earor, fear of detection would be reduced, and this lowering of fear would re-

sult in diminishing physiological response." 🌣 One polygraph study concluded that the more a guilty subject could control his own attitudes and answers, the greater the contamination he could produce in the polygraph results; an intelligent subject could often succeed in eluding detection.si

What is the examiner's influence in the polygraph procedure and results? Interpretation is the essence of the process, making lie detecting a highly subjective business. Judgments about the subject's attitude and personality, about the composition of questions, and regarding the meanings of the machine's recordings are all made by the examiner. The results presented are solely the assessment of an operator of the lines recorded on the graphs of his machine. The expertise requisite in making such interpretations raises several questions as to the reliability of polygraph reports. Familiarity with several medical specialties and an understanding of clinical and social psychology should be required and expected of examiners; yet, the curriculum should be required and expected of examiners; yet, the curriculum offered by a leading polygraph school, a program lauded by advocates as producing truly reputable examiners, amounts to a mere 244 hours of study with only 14 hours in psychology and 31 hours in "medical aspects." Even the mere possession of an academic degree, unless an advanced one in physiology or psychology, should not be enough qualification. A Clearly, the level of most examiner competence across the country, when the finest of he profession receive the minimal training noted here, falls far short of these criteria.

Another consideration is the possibility hat examiner bias will be injected into the est. There are examiners who sympathize with the employer who is seeking protection from thieving employees,54 who believe that nost of the people who resist the tests are crying to hide something incriminating, and who maintain that the polygraph is an effective instrument for bringing out a person's compulsion to confess. The chance for an unprejudiced examination and interpretation, with underlying examiner atti-udes such as these, greatly diminishes.

With this number of potential trouble-spots involved, doubt must be cast upon the objectivity, accuracy, and reliability of the polygraph test. It has been noted that the acceptance of the machine is the product of circular logic: belief in the device induces confession, and the rate of confessions creates faith in the polygraph's effectiveress.57 In reality:

"The polygrapth technique only provides neasures of various autonomic responses. The stimuli that elicit these responses, the intervening variables (constitutional predisposition, past learning, conscious and unconious motivation, etc.) and the interpretat ons made of the resulting graphs are highly complex and are inferences made from more o : less incomplete data." 58

THE POLYGRAPH TEST: CONSTITUTIONALITY

The courts have been embroiled in the p lygraph issue for a half-century, contendg with questions of reliability and, in related contexts, with the deeper constitu-tional implications for individual rights. Reservations have been expressed again and again concerning the admissibility of polygraph results as evidence: (1) the jury's role wild be undermined by a test purportedly as related to the determination of truth as the polygraph; (2) the test data offered by a defendant couldn't be cross-examined; (3) tle problems of assuring that consent to be eramined has been completely uncoerced are grat; (4) with the polygraph usable as evidence, the presumption of innocence would ce tainly be damaged by a refusal to take the te t; and (5) a polygraph exam could violate the privilege against self-incrimination,™ as well as other constitutional provisions. These

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last considerations and concerns are also relevant to the use of polygraphs in employment, where this method of investigation threatens to violate the right to privacy possessed by every individual.

The right to privacy is not one of the specific guarantees enumerated in the Bill of Rights. Yet it has been recognized as an implicit right, intended by the Constitution and its framers, a result of the entwinement express constitutional mandates and necessary to the preservation and viability of these liberties.

In particular, the provisions of the First Amendment have been among those deemed related to the right to privacy. "The right of freedom of speech and press includes ... freedom of thought ... Without those peripheral rights the specific rights would be less secure." 69 Freedom in our thoughts and beliefs has been long acknowledged as being within the First Amendment freedom of speech. In Palko v. Connecticut 61 this point

was clearly stated:

"Of that freedom [of thought, and speech] one may say that it is the matrix, the indispensable condition, of nearly every other form of freedom. With rare aberrations a pervasive recognition of that truth can be traced in our history, political and legal. So it has come about that the domain of liberty, withdrawn by the Fourteenth Amendment from encroachment by the states, has been enlarged by latter-day judgments to include liberty of the mind as well as liberty of action. The extension became, indeed, a logical imperative when once it was recognized as long aso as it was, that liberty is something more than exemption from physical restraints..." 62

Freedom of thought, then, has been held to be a fundamental right. In fact the connection between liberty of thought and the right to keep those thoughts private is inescanable.

Griswold v. Connecticut 63 is a landarmk Supreme Court case upholding the consti-tutionality of this right of privacy. The Court stated that, along with the other amend-ments, "the First Amendment has a penumbra where privacy is protected from governmental intrusion." 64

In a concurring opinion, Justice Goldberg urged that privacy does not have to be inferred from enumerated freedoms. Instead, the Ninth Amendment can be turned to, for it "simply shows the intent of the Constitution's authors that other fundamental personal rights should not be denied such protection or disparaged in any other way simply because they are not specifically listed in the first eight constitutional amendments." 65

Justice Goldberg went on to say that in deciding what rights are fundamental we must examine our traditions to discover the principles rooted there. In Griswold, the controversy revolved around the marriage re-lationship and the privacy traditionally acactionship and the privacy traditionally accorded its intimacies. The Court declared, "We deal with a right to privacy older than the Bill of Rights..." 60 Certainly the right to privacy in our minds, to speak or keep silent about our thoughts, is one of the oldest and most basic principles of human in-dividuality and life. Such a valued tradition should not be tampered with for reasons of alleged expediency.

Though the Griswold decision focused on the right to privacy as peripheral to First Amendment rights, it was noted that other constitutional guarantees manifest this same purpose:

"The Fourth Amendment explicitly affirms the 'right of the people of be secure in their persons, houses, papers, and effects against unreasonable searches and seizures. The Fifth Amendment in its Self-Incrimination Clause enables the citizen to create a

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zone of privacy which government may not force him to surrender to his detriment." 67 Boyd v. United States as recognized that in

questions of privacy the Fourth and Fifth Amendments are closely tied, as explained in a passage from the Court's opinion:

The principles laid down in this opinion [of Lord Camden] affect the very essence of constitutional liberty and security apply to all invasions on the part of the government and its employers, of the sanctity of a man's home and the privacies of life. It is not the breaking of his doors and the rummaging of his drawers that constitutes the essence of the offense; but it is the invasion of his indefeasible right of personal security, personal liberty and, private property where that right has never been forfeited by his conviction of some public offense . . . any forcible and compulsory extertion of a man's own testimony or of his private papers to be used as evidence to convict him of a crime or to forfeit his goods is within the condemnajudgment [of Lord Camden]. tion of that In this regard the Fourth and Fifth Amendments run almost into each other."

Several of the points made in Boyd can be related to the issues of a federal employee's rights, to the nature of the self-incrimination and unreasonable search and seizure protections outside of criminal proceedings. Clearly, the Constitution does not limit these guarantees to a criminal context. In the landmark decision Miranda v. Arizona,70 in which guidelines were first set forth for the questioning of suspects to secure the Fifth Amendment protection, the Supreme Court maintained that "the privilege is fulfilled only when the person is guaranteed the right 'to remain silent unless he chooses to speak in the unfettered exercise of his own will'" " The Court further noted that, "Today, then, there can be no doubt that the Fifth Amendment privilege is available outside of criminal court proceedings and serves to protect persons in all settings in which their freedom of action is curtailed from being compelled to incriminate themselves." 72

These tenets of Boyd and Miranda are indeed relevant to employment situations and polygraph-induced confession even though the purpose of such tests is not to elicit incriminating evidence for a court. Congressional hearings into the use of polygraphs in federal employment determined that:

"The polygraph technique forces an individual to incriminate himself and confess to past actions which are not pertinent to the current investigation. He must dredge up his past so he can approach the polygraph machine with an untroubled soul. The polygraph operator and his superiors then decide whether to refer derogatory information to other agencies or officials."

The concern in Miranda was to compensate for the coercive aura of a police station to insure that all precautions are taken so that a suspect does not feel compelled to speak. Where obtaining or retaining a job is dependent upon the taking of a polygraph test, the environment can be just as coercive. Employment is vital to existence and survival in our modern society, and the competition for jobs is great. The submission to polygraph examinations is pre-employment interview is deemed voluntary, but the knowledge that a refusal will automatically end the employment opportunity undermines this claim. Furthermore, the onus of guilt, of hiding potentially damaging revelations that accompanies a refusal to be tested by a polygraph further reduces the voluntary aspect. Many job offers are conditioned upon an agreement to submit to future polygraph tests, entirely eliminating any element of choice. For a person seeking or obtaining a job to be knowledge, coerced to reveal private knowledge, thoughts, and beliefs would appear repugnant to Supreme Court cases which recognize the constitutional rights of employees.74

The price of gaining employment must not be a surrendering of civil liberties.

The polygraph examiner's questions themselves can be extremely coercive resulting from "the subject's defensive willingness to elaborate on his answers because he fears that unless he reveals all the details, the machine will record that he is lying even when his basic story is true." Treedom from be-ing compelled to make self-incriminating disclosures, a part of every citizen's right to privacy, should be applicable to a business setting, expecially where polygraphs are in use, for, as one commentator summarizes:

. . the nature of an employer's inquiries about past deeds and guilt is often indistinguishable from criminal interrogation. Moreover, the loss of personal liberty or property which would result from a criminal conviction is often no more significant than the denial of livelihood which may result from compelled testimony concerning past and present activities, associations, and even beliefs during preemployment or promotion screening via personality and polygraph test-

Another matter germane to the self-incrimination discussion is the question of how the responses elicited by the polygraph machine and examiner are characterized. In response to the growing complex of investigative techniques available for the identifying of a suspect in a criminal case, a distinction has emerged between physical as opposed to communicative evidence. Thus, a person may be compelled to provide a sample of his handwriting,77 to speak,78 or to exhibit his body for identification,79 but he may not be expected to be a source of testimonial evidence against himself. In Schmerber v. California, in which the Court held that the taking of a blood sample over petitioner's objections did not violate constitutional requirements, the polygraph was discussed in relation to the difficulties inherent in the process of separating physical evidence from communications. The opinion noted:
"Some tests seemingly directed to obtain

physical evidence,' for example, lie detector tests measuring changes in body function during interrogation, may actually be directed to eliciting responses which are essentially testimonial. To compel a person to submit to testing in which an effort will be made to determine his guilt or innocence on the basis of physiological responses, whether willed or not, is to evoke the spirit and history of the Fifth Amendment." sa

The technique applied to extract informa-tion from an individual must also be weighed against Fourth Amendment considerations. Methods for obtaining evidence, though in theory permissible, must, the Court has held, adhere to other principles as well as strict constitutional ones. In Rochin v. California,82 the Court deemed it proper to refer to the sense of the community in determining whether drugs obtained from a forced stomach pumping could be used to achieve a conviction. The opinion concluded that "conduct that shocks the conscience" must be prohibited: "They are methods too close to the rack and the screw to permit of constitutional differentiation.

The Fourth Amendment protection against unreasonable searches and seizures does not apply merely to criminal matters. surely anomalous to say that the individual and his private property are fully protected by the Fourth Amendment only when the individual is suspected of criminal behavior." 84 In dissenting from a 1928 opinion upholding the constitutionality of wiretaps, Justice Brandels, gazing into the future, predicted and worried that:

'Advances in the psychic and related sciences may bring means of exploring unexpressed beliefs, thoughts and emotions... Can it be that the Constitution affords no protection against such invasions of individual security? 65

The Fourth Amendment has now been recognized as applying to more than simple physical trespass.³⁶ Electronic listening de-vices,³⁷ police "stop-and-frisk" procedures,³⁸ the taking of fingernall scrapings,³⁹ all have come under its purview. The retention of an individual's privacy, in the face of ever in-oreasing odds against it, is obviously a significant concern. Courts have found it to be their legitimate duty to protect this fundamental principle, as set forth in Mapp v.

"We find that as to the federal government, the Fourth and Fifth Amendments and, as to the states, the freedom from unconscionable invasions of privacy and the freedom from convictions based on coerced confessions do enjoy an "intimate relation" in their perpetuation of "principles of humanity and civil liberty [secured] only after

years of struggle." 21
In the staff's view, polygraphs used in employment indisputably fall within the areas of constitutional concern presented here. To many knowledgeable commentators the relationship is evident. To be probed and questioned so deeply, to be expected to reveal personal attitudes and beliefs under conditions such as those imposed by polygraph testing, is to be subjected to searches and seizures that are unreasonable, to coerced self-incrimination, to loss of civil liberties that amount to a true invasion of privacy.

- 23 Ibid., pp. 699-700.

- ** Ibid., p. 704.

 ** Ibid., p. 705.

 ** ACLU Report, p. 4.

 ** Skolnick, op. cit., pp. 704 and 705.

- ACLU Report, p. 5.
 Burkey, op. cit., p. 81.
 Martin T. Orne and Richard I. Thackery. "Methodological Studies in Detection of Deception." distributed by the Clearinghouse for Federal Scientific and Technical Information, Dept. of Commerce.
 31 Ibid., summary.
- ne Ibid.

33 Frank S. Horvath and John E. Reid, "The rank S. Horvath and John E. Reid, "The Reliability of Polygraph Examiner Diagnosis of Truth and Deception," The Journal of Criminal Law, Criminology and Police Science, vol. 62, 1971, p. 276.

- ³⁴ Ibid., p. 278. ³⁵ Ibid., p. 281. ³⁶ Ibid, p. 278.
- 57 Skolnik, op. cit., p. 699.
- Ibid., p. 715.
 Ibid., p. 715.
- ** Ibid., pp. 717-718.
- ⁴⁰ Hearings, pp. 10–11 ⁴¹ *Ibid.*, pp. 12–13.
- ⁴²H. B. Dearman, M.D. and B. M. Smith, Ph.D., "Unconscious Motivation and the Polygraph Test," *The American Journal of* Psychiatry, May 1963, p. 1019.
 - Skolnick, op. cit., p. 701.
- 4 Ibid., p. 700.
- 45 Dearman and Smth, op. et., pp. 1017-

1018.

4 Equal Employment Opportunity Commission brief related in Circle K. Corp. v. EEOC. U.S. Ct. of Appeals, 10th Circuit, case No. 72-1967, p. 8 (hereinafter cited as EEOC brief). "S. Kugelmass, "Effect of Three Levels of

- Realistic Stress On Differential Psychological Reactivities." report for the Air Force Office of Scientific Research.
- 48 Ibid., pp. 22-23.
 48 EEOC, brief, p. 7.
 50 Skolnick, op. cit., p. 705.
 51 Joseph F. Kubis, "Studies In Lie Detection," report for the Air Force Systems Command, N.Y. June 1962.
- Mandall, N.1. Stude 1907.
 Skolnick, op. cit., p. 707.
 Burkey, op. cit., p. 87.
 Pete J. Perras, "Polygraph Invaluable Tool," The Charlotte Observer, July 6, 1971.

- 55 Franklin, loc. cit.
- 67 Ibid.
- To Dearman and Smith, op. cit., p. 1019.

 The Howard S. Altarescu, "Problems Remained for the Generally Accepted Polymore Control of the Cont graph," 53 Boston Univ. L. Rev. 375 (1978),
- 60 Griswold v. Connecticut, 381 U.S. 479
- © Griswold v. Connecticut, 381 U.S. 479 (1965), pp. 482-483.

 a 302 U.S. 319 (1937).

 2 Ibid., p. 327. For other related discussions see Abrams v. U.S., 250 U.S. 616 (1919). Holmes: dissent; Whitney v. California, 274 U.S. 357 (1927), Brandels concurrence.

 a 381 U.S. 479 (1965).

 b Ibid., p. 483.

 lid., Goldberg concurrence, p. 492. For another discussion of privacy see Poe v. Uliman, 367 U.S. 497 (1961), Harlan dissent.

 lid., p. 486.

- % Ibid., p. 486. % Ibid., p. 484. 65 116 U.S. 616 (1885).

- ** Ibid., p. 630.

 ** 384 U.S. 436 (1966).

 ** Ibid., p. 460, quoting Malloy v. Hogan, 37 U.S. 1 (1964)
- ⁷² *Ibid.*, p. 467. ⁷⁸ Hearings, pp. 19–20.
- The See Stochower v. Board of Education, 360
 U.S. 551 (1955); Garrity v. New Jersey, 881
 U.S. 493 (1966); Keyishian v. Board of Regents, 385 U.S. 589 (1967).

 The ACLU Report, p. 35.

 Hermann, op. cit., p. 131.

 - 77 Gilbert v. California, 388 U.S. 263 (1967), 78 U.S. v. Dionisio, 93 S. Ct. 764 (1973), 79 U.S. v. Wade, 388 U.S. 218 (1967).
- *384 U.S. 757 (1966). *I Ibid., p. 764. *2 342 U.S. 165 (1952).
- 88 Ibid., p. 172.
- 84 Camera v. Municipal Court, 387 U.S. 523 (1966), p. 530.
- 85 Olmstead, Brandeis dissent, p. 474. 86 Katz v. U.S., 389 U.S. 347 (1967).
- 88 Terry v. Ohio, 392 U.S. 1 (1968)
- ** Cupp v. Murphy, 412 U.S. 291 (1978).
- ^M Ibid., p. 657, quoting Bram v. U.S., 168 U.S. 532 (1897).
- 22 See ACLU Report and Hermann.

Mr. BAYH. Finally, it is not mere rhetoric, Mr. President, but a painful fact that the procedures used in taking polygraphs far too strikingly resemble something from George Orwell's "1984." The prospective job applicant or employee is situated in a waiting room to be observed through a one-way mirror by the examiner who attempts to ascertain the individual's initial reaction to the entire procedure. A polygraphist enters and proceeds to ask seemingly innocuous control questions which assist him in determining the type of individual he is dealing with. At the appropriate time, the pneumograph tube-to measure respiration-is placed about the subject's chest, the blood pressure cuffs-sphygmomeare secured around the upper arms and electrodes are attached to the arms and hands. Tension is accentuated because a job and future, and much more, are at stake. I do not believe, Mr. President, that any American should be subjected to this degrading procedure.

I ask unanimous consent that the conclusions of the subcommittee staff study, together with the text of the bill be printed in the RECORD.

There being no objection, the material and bill were ordered to be printed in the RECORD, as follows:

THE POLYGRAPH TEST: CONCLUSIONS

A congressional subcommittee has con-

cluded:
"There is no 'lie detector,' neither machine nor human. People have been deceived by a myth that a metal box in the hands of an

myth that a metal box in the hands of an investigator can detect truth or falsehood." But whether or not the polygraph is a myth, it seems clear that it is here to stay. And, given modern ingenuity, it is not unreasonable to expect that new techniques and devices will be devised in an attempt to facilitate determining honesty. There are, in fact, some already in use. The "wiggle seat" is a new contraption for lie detecting derived is a new contraption for lie detecting derived from the original polygraph. It, too, measures and records physiological changes due to heart action and a person's nervous move-ments, but with an added advantage over the polygraph. The wiggle seat's sensing is mounted in an ordinary office chair. A sub-ject sitting in the chair has his mechanical energy changed to electrical energy which is broadcast to hidden recording instruments. Thus, the response detection can go on completely without the subject's knowledge.

Another type of examination that is gaining acceptance in American business is the Psychological Stress Evaluator (PSE). 116 The PSE registers the FM vibrations in a person's voice. The premise is that under stress the FM modulation is altered due to mouth and threat tightania. throat tightening. A graphic picture of the voice's modulations is made, and the presence and absence of stress are judged according to the character of the markings. The obtaining of the conversation to be assessed can be done secretively with the use of hidden tape recorders. The questions posed by this method are the same as those that critics this method are the same as those that critics of the polygraph have been raising, and proponents have been trying to refute, for years. Can the stress in a person's voice be directly attributed to lying? Can the evaluator objectively and accurately detect lies from physiological recordings of the voice? What of the constitutional problems of testing a speaker without his knowledge, so easily accomplished by the PSE technique? These two innovations indicate that rather than being curtailed, use of the polygraph is

than being curtailed, use of the polygraph is being expanded, particularly in private busi-ness. Attitudes of employers, insofar as polygraph testing is concerned, are characterized in the following: "If a person refused to take the test, we probably wouldn't hire him." II use the polygraph because I got tired of playing God. It's hard to tell things by looking at people." In Even a U.S. Court of Appeals has lent its approval to polygraph testing:

"A statement challenged on the ground that it was obtained [from a polygraph examination administered to petitioner as a part of a hiring procedurel as the result of conomic sanctions must be rejected as inroluntary only where the pressure reasonably appears to have been of sufficiently appreciable size and substance to deprive the accused of his "free choice to admit, to deny, or to refuse an answer"... But the threat of discharge for a job as a driver's assistant, which Sanney had held for one or two days, can hardly be labelled a "substantial economic sanction" rendering his statement involuntary." "

These comments indicate that if polygraphs are here to stay, so, in fact, are the constitutional problems inherent in their

The right to privacy is basic to the American way of life and recognized as inherent in and guaranteed by the constitutional provi-sions of the First, Fourth and Fifth Amendsigns of the First, Fourth and Fifth Amendments. The federal government ordinarily strives to curtail and prevent infringements of individual rights such as these. But the priygraph, as a tool of public and private

Pootnotes at end of article.

employers, clearly demands more attention. Compulsory submission to a polygraph test is an affront to the integrity of the human personality that is unconscionable in a society which values the retention of individuals' privacy. Employers have a multitude of less objectionable resources at their disposal for investigating applicants' backgrounds and employees' performances. Expediency is not a valid reason for pitting individuals against a degrading machine and process that pry into their inner thoughts. Limits, beyond which invasions of privacy will not be tolerated, must be established. The Congress should take legislative steps to prevent Federal agencies as well as the private sector from requiring, requesting, or persuading any employee or applicant for employment any employee or applicant for employment to take any polygraph test. Privacy is a fun-damental right that must be protected by prohibitive legislation from such unwarranted invasions.

FOOTNOTES

Fred P. Graham, "Lie Detecting By a

Voice Is Center of Controversy," New York Times, June 5, 1972, p. 1. 117 Bill Bradley of Eckerd Corp. quoted in "To Catch A Thief," Newsweek, Sept. 23, 1974,

p. 80.

MSt. Petersburg, Fla. Chevrolet dealer quoted in "To Catch A Thief," Newsweek,

118 From a Court of Appeals opinion, Sanney v. Montanye, 6/20/74, reported in The United States Law Week, 48 LW 2027, 7-23-74.

S. 1841

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, That (a) chapter 13, of title 18, United States Code, is amended by adding at the end thereof the following new section:

"§ 246. Polygraph testing in connection with employment

"(a) For purposes of this section, the term-

"(1) 'polygraph test' means an examina-tion administered to an individual by me-chanical or electrical means to measure or otherwise examine the veracity or truthfulness of such individual; and

(2) 'employee organizations' includes any brotherhood, council, federation, organiza-tion, union, or professional organization made up in whole or in part of employees and which has as one of its purposes dealing with which has as one of its purposes deating with departments, agencies, commissions, inde-pendent agencies of the United States, or with businesses and industries engaged in or affecting interstate commerce, concerning the conditions and terms of employment of such employees.

"(b) (1) Any officer or employee or person acting for or on behalf of the United

States who willfully-

(A) permits, requires, or requests, or attempts to require or request, any officer or employee of the United States, or any in-dividual applying for employment as an officer or employee of the United States, to take any polygraph test in connection with his services or duties as an officer or employee, or in connection with such individ-

ual's application for employment; or

"(B) denies employment to any individual, or discharges, disciplines, or denies promotion to any officer or employee of the United States, or threatens to commit any such act by reason of his refusal or failure to submit to such requirement or request, shall be guilty of a misdemeanor and punished by a fine not exceeding \$1,000, or by imprisonment not exceeding one year, or

both.

"(2) Any person engaged in any business or other activity in or affecting interstate commerce, or any individual acting under the authority of such person who willfully—

"(A) permits, requires, or requests, or attempts to require or request any individ-

ual employed by such person or any individual applying for employment in connection with such business or activity to take any polygraph test in connection with his services or duties or in connection with his application for employment; or

"(B) who denies employment to any in-dividual, or discharges, disciplines, or denies promotion to any individual employed in connection with such business or activity, or threatens to commt such act by reason of his refusal or failure to submit to such requirement or request,

shall be guilty of a misdemeanor and punished by a fine not exceeding \$1,000, or by imprisonment not exceeding one year, or

"(c)(1) Whenever--

"(A) any officer or employee or any person acting for or on behalf of the United States,

"(B) any person engaged in any business or other activity in or affecting interstate commerce, or any individual acting under the authority of such person,

violates or threatens to violate any of the provisions of subsection (b) of this section, any employee or officer of the United States, or any person applying for employment in the executive branch of the United States Government, or any individual seeking to establish civil service status or eligibility for employment in the United States Govern-ment, or any individual applying for employment in connection with any business or activity engaged in or affecting interstate commerce, or any individual employed by a person engaged in such business or activity, who is affected c- aggrieved by the violation or threatened violation, may bring a civil action in his own behalf or in behalf of himself and others similarly situated, against the offending officer or employee or person in the United States District Court for the district in which the violation occurs or is threatened, or for the violation occurs or is threatened, or for the district in which the offending person is found, or in the United States District Court for the District of Columbia, to pre-vent the threatened violation or to obtain redress against the consequences of the violation.

"(2) The district courts of the United States shall have jurisdiction to try and determine such civil action irrespective of the actuality or amount of pecuniary injury done or threatened, and without regard to whether the aggrieved party shall have exhausted any administrative remedies that may be provided by law, and to issue such restraining order, interlocutory injunc-tion, permanent injunction, or mandatory injunction, or enter such other judgment or decree as may be necessary or appropriate to prevent the threatened violation, or to afford the plaintiff and others similarly situated complete relief against the consequences of the violation.

"(3) With the written consent of any person affected or aggrieved by a violation or threatened violation of subsection (b) of this section, any employee organization may bring such action on behalf of any such person, or may intervene in such action.

(b) The analysis of chapter 13 of such title is amended by adding at the end thereof the following new item:

"246. Polygraph testing in connection with employment.

SEC. 2. The amendments made by this Act shall become effective thirty days after the date of enactment.

ADDITIONAL COSPONSORS OF BILLS AND RESOLUTIONS

s. 334

At the request of Mr. HATHAWAY, the Senator from Massachusetts (Mr. Ken-NEDY) was added as a cosponsor of S. 334.

a bill to prohibit sex discrimination by educational institutions whose primary purpose is the training of individuals for the military service of the United States.

s. 1000 At the request of Mr. Hugh Scott (for Mr. Magnuson), the Senator from Oregon (Mr. HATFIELD) was added as a cosponsors of S. 1000, a bill to prohibit the Consumer Product Safety Commission from restricting the sale or manufacture of firearms or ammunition.

S. 1153

At the request of Mr. Mondale, the Senator from Nevada (Mr. LAXALT) was added as a cosponsor of S. 1153, the Truth in Contributions Act.

S. 1625

At the request of Mr. Packwood, the Senator from Arizona (Mr. Goldwater), the Senator from South Carolina (Mr. Hollings), and the Senator from North Carolina (Mr. Morgan) were added as cosponsors of S. 1625, a bill to extend and revise the State and Local Fiscal Assistance Act of 1972.

B. 1776

At the request of Mr. Hugh Scott, the Senator from Montana (Mr. Mansfield) was added as a cosponsor of S. 1776, a bill to authorize the Secretary of the Interior to establish the Valley Forge National Historical Park in the Commonwealth of Pennsylvania, and for other purposes.

8. 1801

At the request of Mr. TAFT, the Senator from South Carolina (Mr. THUR-MOND) was added as a cosponsor of S. 1801, a bill to provide an alternative plan for providing essential rail services to the Midwest and Northeast regions of the United States, to modernize certain railroad procedures, and for other purposes. SENATE RESOLUTION 158

At the request of Mr. Packwood, the Senator from Alaska (Mr. Stevens), the Senator from Michigan (Mr. PHILIP A. HART), the Senator from Iowa (Mr. Cul-VER), the Senator from Kentucky (Mr. FORD), and the Senator from Pennsylvania (Mr. Schweiker) were added as cosponsors of Senate Resolution 158, a resolution to express the sense of the Senate that the income tax rebates should not be subject to State income tax.

SENATE JOINT RESOLUTION 63

At the request of Mr. Percy, the Senator from New Mexico (Mr. DOMENICI) was added as a cosponsor of Senate Joint Resolution 63, to authorize the President to designate the period from June 8, 1975, through June 15, 1975, as "National Wheelchair Athletes' Week."

SENATE RESOLUTION 172-ORIGI-NAL RESOLUTION REPORTED RE-LATING TO THE PAYMENT OF WITNESS FEES

(Place on the Calendar.)

Mr. CANNON, from the Committee on Rules and Administration, reported the following resolution:

S. RES. 172

Resolved, That witnesses summoned to appear before the Senate or any of its committees shall be entitled to a witness fee rated at not to exceed \$35 for each full day spent in traveling to and from the place of examination and for each full day in attendance. A witness shall also be entitled to reimbursement of the actual and necessary transportation expenses incurred by him in traveling to and from the place of examination, in no case to exceed 35 cents a mile for the distance actually traveled by him for the purpose of appearing as a witness if such distance is not more than six hundred miles or 20 cents a mile if such distance is more than six hundred miles.

AMENDMENTS SUBMITTED FOR PRINTING

AUTHORIZATION OF CERTAIN CON-STRUCTION AT MILITARY IN-STALLATIONS—S. 1247

AMENDMENT NO. 499

(Ordered to be printed and to lie on the table.)

TRANSFER OF SURPLUS ARMY LAND TO AUGUSTA
COLLEGE

Mr. NUNN. Mr. President, this amendment is identical to S. 3831, which I submitted on July 30, 1974. It authorizes the transfer of a 5-acre parcel of land from the Department of the Army to Augusta College in Augusta, Ga. The distinguished senior Senator from Georgia, Senator Talmadge, joins me as a cosponsor of this amendment. Congressman ROBERT STEPHENS, who represents Georgia's 10th Congressional District, and Congressman Jack Brinkley, who represents Georgia's Third Congressional District, have introduced legislation in the House of Representatives as H.R. 4018 to accomplish this transfer.

The purpose of this amendment is to facilitate the transfer of the land which is desired by both parties to the transaction. Provision is made in this measure for the payment of full market value. There are no other competing claims to the land, and no objections to the conveyance have been voiced from any quarter. By authorizing the Secretary of the Army to make the transfer directly to the board of regents of the University of Georgia for Augusta College, the bill is merely intended to simplify, accelerate, and assure completion of the transfer.

This amendment represents the completion of a process of negotiation and cooperation which goes back nearly 4 years when the first request by the college for the Army site adjacent to the school was made. In fact, the story begins nearly 20 years ago.

At that time, in 1955, about 70 acres of property occupied by the Augusta Armory was declared surplus by the Army and acquired by the Richmond County Board of Education. Excluded from that transaction by the Army were approximately 5 acres at a corner of the tract. On this land in 1957 the Army built the present U.S. Reserve Armory, which has since served as a reserve training center.

In 1958 the board of education transferred the main body of the armory property to the board of regents of the University of Georgia for use by Augusta College. That same year Augusta College, then a small junior college with a

history dating back to 1783, became a unit of the State university system.

The college and its new site underwent a remarkable growth and development in the succeeding 15 years. The college was transformed from a junior college into a senior institution offering, first, a baccalaureate program and, subsequently, graduate programs as well. Enrollment grew from less than 450 students in 1958 to over 4,000 students in 1975, drawn from throughout the Central Savannah River area of Georgia and South Carolina. The State of Georgia spent over \$10 million to refurbish existing structures and add new facilities, developing a campus which today has a replacement value of over \$30 million. In short, in a decade and a half, Augusta College has grown nine fold into a truly important community asset and a valuable State facility serving a broad and expanding area as an essential educational resource.

Anticipating that continued growth could push enrollment beyond the 6,000 mark by 1980 and recognizing that property for expansion was virtually nonexistent in the college's land-locked residential area, Augusta College officials first turned to the Army for help with its expansion in 1971. The college asked that the adjacent 5 acre armory and training center site be transferred to it to help relieve the space problems.

The Army was sympathetic to the college request. In fact, it was finding the 5-acre site smaller than it needed for its own expansion plans for the training center, but it had no suitable alternate site available. Thus began a cooperative effort extending to the present to find a mutually acceptable solution to the joint problem of relocation.

I do not intend to recount the efforts that have been required over the last 4 years to find the happy answer. Suffice it to say that it has been found through the determined efforts of the college under its able president, Dr. George Christenberry; through the unstinting cooperation of the Army, locally and in Washington; through the understanding and support of local and State officials and the assistance of Federal agencies; and with a boost where needed from the distinguished Senator from Georgia, Senator Talmadge, from our esteemed colleague from South Carolina, Senator THURMOND, Congressman Stephens, Congressman Brinkley, and my own office.

The Army now has a fine site in Augusta on which to relocate its expanded reserve training center. Augusta College is moving ahead with plans to utilize the present site, and the transfer has been endorsed by all interested parties. The board of regents of the University System of Georgia has committed itself to expend funds to purchase the tract of land from the Army whenever congressional approval is obtained.

Hence, the next step required is enactment of the authorizing legislation I am submitting today. I recommend passage of this amendment as consonant with the best interests of the Department of Defense, the University System of Georgia, Augusta College and Richmond County, Ga., residents. I hope that the legislative wheels will roll smooothly and

rapidly to passage so that we may soon set the seal of Federal approval on this fine example of cooperation, civilian and military, local, State and Federal.

Mr. President, I ask unanimous consent that this amendment be printed in the RECORD.

There being no objection, the amendment was ordered to be printed in the RECORD, as follows:

AMENDMENT No. 499

At the end of the bill, insert the following:

SEC. 901. The Secretary of the Army is authorized and directed to convey to the Board of Regents of the University System of Georgia, subject to the provisions of this Act, all of the right, title, and interest of the United States in and to a parcel of land, with improvements thereon, lying and being situated in Richmond County, city of Augusta, State of Georgia, more particularly described as follows:

Beginning at a chiseled X in concrete at the intersection of the south line of Walton Way with the west line of Katherine Street; thence along the west line of Katherine Street, south 02 degrees 27 minutes 55 seconds west 288.29 feet to a point 1 foot south of a cyclone fence; thence along a line 1 foot south of and parallel to a cyclone fence, north 85 degrees 31 minutes 15 seconds west 227.32 feet to a point 1 foot east of a cyclone fence; thence along a line parallel to and 1 foot east of a cyclone fence, south 04 degrees 19 minutes 50 seconds west 233.05 feet to a point; thence along a line 1 foot south of and parallel to a cyclone fence, north 85 degrees 19 minutes 27 seconds west 305.74 feet to a point 0.60 foot west of a cyclone fence; thence along a line parallel to and 0.60 foot west of a cyclone fence, north 04 degrees 59 minutes 48 seconds east 520.23 feet to a concrete monument on the south side of Walton Way; thence along the south side of Walton Way, south 85 degrees 30 minutes 15 seconds east 517.62 feet to the point of beginning, and containing 5.09 acres, more

SEC. 2. The conveyance authorized by this Act shall be made upon payment to the United States of not less than the appraised fair market value of the land and the improvements thereon, as determined by the Secretary of the Army, or the sum of \$662,000. whichever is the greater, and upon such terms, conditions, reservations, and restrictions as the Secretary of the Army shall deem necessary to protect the interests of the United States.

SEC. 3. The money received by the United States for the lands conveyed under this Act shall be credited to a special account in the Treasury and shall be available, without fiscal year limitation, for the construction of a United States Army Reserve Training Center on lands owned by the United States at the intersection of Jackson and Wrightsboro Roads, Augusta, Georgia.

SEC. 4. The cost of any surveys necessary as an incident to the conveyance authorized by this Act shall be borne by the Board of Regents of the University System of Georgia.

DEPARTMENT OF DEFENSE AUTHORIZATION ACT—S. 920

AMENDMENTS NOS. 500 AND 501

(Ordered to be printed and lie on the table.)

Mr. PROXMIRE submitted two amendments intended to be proposed by him to the bill (S. 920) to authorize appropriations during the fiscal year 1976, and the period of July 1, 1976, through September 30, 1976, for procurement of